

# Functional Data Structures

## Exercise Sheet 5

- Import *Complex.Main* instead of *Main*!
- For this exercise sheet (and homework!), you are not allowed to use sledgehammer! Proofs using the *smt*, *metis*, *meson*, or *moura* methods are forbidden!

### Exercise 5.1 Estimating power-of-two by factorial

Prove that, for all natural numbers  $n > 3$ , we have  $2^n < n!$ . We have already prepared the proof skeleton for you.

**lemma** *exp\_fact\_estimate*: “ $n > 3 \implies (2::nat) ^ n < fact\ n$ ”

**proof** (*induction n*)

**case** 0 **then show** ?*case* **by auto**

**next**

**case** (*Suc n*)

**assume IH**: “ $3 < n \implies (2::nat) ^ n < fact\ n$ ”

**assume PREM**: “ $3 < Suc\ n$ ”

**show** “ $(2::nat) ^ Suc\ n < fact\ (Suc\ n)$ ”

Fill in a proof here. Hint: Start with a case distinction whether  $n > 3$  or  $n = 3$ .

**qed**

**Warning!** Make sure that your numerals have the right type, otherwise proofs will not work! To check the type of a numeral, hover the mouse over it with pressed CTRL (Mac: CMD) key. Example:

**lemma** “ $2 ^ n \leq 2 ^ Suc\ n$ ”

**apply auto oops** — Leaves the subgoal  $2 ^ n \leq 2 * 2 ^ n$

You will find out that the numeral 2 has type *'a*, for which you do not have any ordering laws. So you have to manually restrict the numeral’s type to, e.g., *nat*.

**lemma** “ $(2::nat) ^ n \leq 2 ^ Suc\ n$ ” **by simp** — Note: Type inference will infer *nat* for the unannotated numeral, too. Use CTRL+hover to double check!

## Exercise 5.2 Sum Squared is Sum of Cubes

- Define a function `sumto`  $f\ n = \sum_{i=0..n} f(i)$ .
- Show that  $(\sum_{i=0..n} i)^2 = \sum_{i=0..n} i^3$ .

**fun** `sumto` :: “(nat  $\Rightarrow$  nat)  $\Rightarrow$  nat  $\Rightarrow$  nat”

**lemma** “`sumto` ( $\lambda x. x$ )  $n$  ^ 2 = `sumto` ( $\lambda x. x^3$ )  $n$ ”

**proof** (`induct n`)

**case 0 show** ?`case` **by** `simp`

**next**

**case** (`Suc n`)

**assume IH:** “(`sumto` ( $\lambda x. x$ )  $n$ )<sup>2</sup> = `sumto` ( $\lambda x. x^3$ )  $n$ ”

**note** [`simp`] = `algebra_simps` — Extend the simpset only in this block

**show** “(`sumto` ( $\lambda x. x$ ) (`Suc n`))<sup>2</sup> = `sumto` ( $\lambda x. x^3$ ) (`Suc n`)”

Insert a proof here

**qed**

## Exercise 5.3 Paths in Graphs

A graph is described by its adjacency matrix, i.e.,  $G :: 'a \Rightarrow 'a \Rightarrow bool$ .

Define a predicate `path`  $G\ u\ p\ v$  that is true if  $p$  is a path from  $u$  to  $v$ , i.e.,  $p$  is a list of nodes, not including  $u$ , such that the nodes on the path are connected with edges. In other words, `path`  $G\ u\ (p_1..p_n)\ v$ , iff  $G\ u\ p_1$ ,  $G\ p_i\ p_{i+1}$ , and  $p_n = v$ . For the empty path ( $n=0$ ), we have  $u=v$ .

**fun** `path` :: “(‘a  $\Rightarrow$  ‘a  $\Rightarrow$  bool)  $\Rightarrow$  ‘a  $\Rightarrow$  ‘a list  $\Rightarrow$  ‘a  $\Rightarrow$  bool”

Test cases

**definition** “`nat_graph`  $x\ y \longleftrightarrow y = \text{Suc } x$ ”

**value** `(path nat_graph 2 [] 2)`

**value** `(path nat_graph 2 [3,4,5] 5)`

**value** `( $\neg$  path nat_graph 3 [3,4,5] 6)`

**value** `( $\neg$  path nat_graph 2 [3,4,5] 6)`

Show the following lemma, that decomposes paths. Register it as `simp-lemma`.

**lemma** `path_append[simp]`: “`path`  $G\ u\ (p1@p2)\ v \longleftrightarrow (\exists w. \text{path } G\ u\ p1\ w \wedge \text{path } G\ w\ p2\ v)$ ”

Show that, for a non-distinct path from  $u$  to  $v$ , we find a longer non-distinct path from  $u$  to  $v$ . Note: This can be seen as a simple pumping-lemma, allowing to pump the length of the path.

Hint: Theorem `not_distinct_decomp`.

**lemma** `pump_nondistinct_path`:

**assumes**  $P$ : “`path`  $G\ u\ p\ v$ ”

**assumes**  $ND$ : “ $\neg$  `distinct`  $p$ ”

**shows** “ $\exists p'. \text{length } p' > \text{length } p \wedge \neg \text{distinct } p' \wedge \text{path } G \text{ u } p' \text{ v}$ ”

## Homework 5 Estimate for Number of Leafs

*Submission until Friday, June 2, 11:59am.* Recall: Use Isar, proofs using *metis*, *smt*, *meson*, or *moura* (as generated by sledgehammer) are forbidden!

Define a function to count the number of leafs in a binary tree:

```
fun num_leafs :: “’a tree  $\Rightarrow$  nat”
```

Start by showing the following auxiliary lemma:

**lemma** *auxl*:

```
assumes IHl: “num_leafs l  $\leq 2^{\text{height } l}$ ” and IHr: “num_leafs r  $\leq 2^{\text{height } r}$ ”  
and lr: “height l  $\leq$  height r”  
shows “num_leafs(Node l x r)  $\leq 2^{\text{height}(Node l x r)}$ ”
```

Also show the symmetric statement. Hint: Copy-paste-adjust!

**lemma** *auxr*:

```
assumes IHl: “num_leafs l  $\leq 2^{\text{height } l}$ ” and IHr: “num_leafs r  $\leq 2^{\text{height } r}$ ”  
and rl: “ $\neg$  height l  $\leq$  height r”  
shows “num_leafs(Node l x r)  $\leq 2^{\text{height}(Node l x r)}$ ”
```

Finally, show that we can estimate the number of leafs in a tree as follows:

**lemma** “num\_leafs t  $\leq 2^{\text{height } t}$ ”

**proof** (*induction t*)

```
case Leaf show ?case by auto
```

**next**

```
case (Node l x r)
```

```
assume IHl: “num_leafs l  $\leq 2^{\text{height } l}$ ”
```

```
assume IHr: “num_leafs r  $\leq 2^{\text{height } r}$ ”
```

```
show “num_leafs <l, x, r>  $\leq 2^{\text{height } \langle l, x, r \rangle}$ ”
```

Fill in your proof here

**qed**

## Homework 5.1 Simple Paths

*Submission until Friday, June 2, 11:59am.* This homework is worth 5 bonus points.

A simple path is a path without loops, or, in other words, a path where no node occurs twice. (Note that the first node of the path is not included, such that there may be a simple path from  $u$  to  $u$ .)

Show that for every path, there is a corresponding simple path:

**lemma** *exists\_simple\_path*:  
**assumes** “*path G u p v*”  
**shows** “ $\exists p'. \textit{path G u p' v} \wedge \textit{distinct p'}$ ”  
**using** *assms*  
**proof** (*induction p rule: length\_induct*)  
**case** (*1 p*)  
**assume** *IH*: “ $\forall pp. \textit{length pp} < \textit{length p} \longrightarrow \textit{path G u pp v}$   
 $\longrightarrow (\exists p'. \textit{path G u p' v} \wedge \textit{distinct p'})$ ”  
**assume** *PREM*: “*path G u p v*”  
**show** “ $\exists p'. \textit{path G u p' v} \wedge \textit{distinct p'}$ ”

Fill in your proof here.

**qed**