

# Functional Data Structures

## Exercise Sheet 4

### Exercise 4.1 List Elements in Interval

Write a function to in-order list all elements of a BST in a given interval. I.e. `in_range t u v` shall list all elements  $x$  with  $u \leq x \leq v$ . Write a recursive function that does not descend into subtrees that definitely contain no elements in the given range.

**fun** `in_range` :: "`'a::linorder tree`  $\Rightarrow$  `'a`  $\Rightarrow$  `'a`  $\Rightarrow$  `'a list`"

Show that you list the right set of elements

**lemma** "`bst t`  $\implies$  `set (in_range t u v)` =  $\{x \in \text{set\_tree } t. u \leq x \wedge x \leq v\}$ "

Show that your list is actually in-order

**lemma** "`bst t`  $\implies$  `in_range t u v` = `filter` ( $\lambda x. u \leq x \wedge x \leq v$ ) (`inorder t`)"

### Exercise 4.2 Fint Isar Steps

Using Isar, show the following theorem over natural numbers:

**theorem**

**assumes** "`x`  $\geq$  (`1` :: `nat`)"

**shows** "`(x + x2)2`  $\leq$  `4 * x4`"

Hint: When phrasing intermediate goals, check your types. Use *sledgehammer* to fill in simple proof steps.

### Exercise 4.3 Enumeration of Trees

Write a function that generates the set of all trees up to a given height. Show that only trees up to the specified height are contained.

**fun** `enum` :: "`nat`  $\Rightarrow$  `unit tree set`"

**lemma** `enum_sound`: "`t`  $\in$  `enum n`  $\implies$  `height t`  $\leq$  `n`"

(Time permitting) Show the other direction, i.e. that all trees of the specified height are contained.

**lemma** *enum\_complete*: “ $height\ t \leq n \implies t \in enum\ n$ ”

**lemma** *enum\_correct*: “ $enum\ h = \{t.\ height\ t \leq h\}$ ”  
by (*auto simp: enum\_complete enum\_sound*)

## Homework 4 Max Annotated Trees

*Submission until Monday, May 22, 23:59pm.*

In this homework, we will develop an augmented binary search tree that stores the maximum element in the tree at the root. With this auxiliary information, it is easier to implement queries such as membership:

**datatype** *'a mtree* = *Leaf* | *Node* (*'a mtree*) *'a* *'a* (*'a mtree*)

Define a function to return the set of elements in such a tree

**fun** *set\_mtree* :: “*'a mtree*  $\Rightarrow$  *'a set*”

Define a function that characterises the invariant on the tree: the search tree property and the correct maximum node labels. Note: you do not have to use the function *Max*.

**fun** *mbst* :: “*a::linorder mtree*  $\Rightarrow$  *bool*”

To confirm that the invariant characterises what it is supposed to, define a function which computes the maximum value in an ordered tree. This function has to recurse on the given tree. You can assume the tree is properly ordered. Note that in addition to the *linorder*, we also require that the elements of the tree have a *0* element.

**fun** *max\_val* :: “*a::\{linorder,zero\} mtree*  $\Rightarrow$  *'a*”

Show that this function returns the label of the root of a given tree, if the tree is *mbst*.

**lemma** *mbst\_max*: “*mbst* (*Node l m a r*)  $\implies$  *max\_val* (*Node l m a r*) = *m*”

Define the insert function for this tree. Note: it has to correctly update the node with the correct maximum labels (but must not use the *max\_val* function, as that is only for the specification).

**fun** *mins* :: “*a::linorder*  $\Rightarrow$  *'a mtree*  $\Rightarrow$  *'a mtree*”

Now show that *mins* preserves the invariant. Hint: you will need a lemma showing that *mins* actually inserts the element in the set of elements in the tree.

**lemma** *mins\_mbst*: “*mbst t*  $\implies$  *mbst* (*mins x t*)”

Define the membership query function and show it correct. Note: the function has to exploit the augmented maximum value!

**fun** *misin* :: *"'a::linorder ⇒ 'a mtree ⇒ bool"*

**lemma** *misin\_set*: *"mbst t ⇒ misin x t ⇔ x ∈ set\_mtree t"*

Specify a function that lists the elements within a given range in a given augmented tree and show that it lists the right elements. Again, the function must exploit the augmented maximum values.

**fun** *mtree\_in\_range* :: *"'a::linorder mtree ⇒ 'a ⇒ 'a ⇒ 'a list"*

Show that the function lists the right set of elements

**lemma** *mbst\_range*: *"mbst t ⇒ set (mtree\_in\_range t u v) = {x ∈ set\_mtree t. u ≤ x ∧ x ≤ v}"*