

### Exercise 34 (Termination)

Let  $\Sigma = \{a, b, c, d\}$ , with unary function symbols  $a$ ,  $b$  und  $c$  and a constant symbol  $d$ . Show that the term rewriting system with the following rules terminates:

$$\begin{aligned} b(a(x)) &\longrightarrow a(b^2(c(x))) \\ c(a(x)) &\longrightarrow a(b(c^2(x))) \\ c(b(x)) &\longrightarrow b(c(x)) \end{aligned}$$

*Hint:* Consider how the number of occurrences of  $as$  changes in each step. Then regard the sequences of function symbols in between the  $as$  as strings.

### Solution

All functions in  $\Sigma$  are unary, and terms in  $T(\Sigma, V)$  can be identified by words from  $\Sigma^*$ , if we drop variables and the constant symbol  $d$ . This simplifies the notation in the following proof.

Let  $v_1 \longrightarrow v_2 \longrightarrow \dots \longrightarrow v_i \longrightarrow \dots$  be a reduction sequence. The letter  $a$  occurs equally often in each  $v_i$ , and the  $v_i$  have the form

$$w_{i0}aw_{i1}a \cdots aw_{i,n-1}aw_{in}, \quad w_{ij} \in (\Sigma - \{a\}) \quad (*)$$

In a reduction, the length of  $w_{i0}$  is bounded by the length of  $w_{00}$ . Thus, the first and second rule can only be applied infinitely often on the first  $a$ . Hence, also the length of  $w_{i1}$  is bounded, and so is the length of  $w_{i2}, \dots, w_{in}$ .

We define an ordering on  $\Sigma$  by  $c > b > a$ . This yields the lexicographic order  $>_{\text{lex}}$  on  $\Sigma^*$ . This order does not necessarily terminate, (e.g.  $b >_{\text{lex}} ab >_{\text{lex}} a^2b >_{\text{lex}} \dots$ ), but it terminates for bounded word length.

The order  $>_{\text{lex,lex}}$  is the order on  $n$ -tuples of words from  $\Sigma^*$ , that is induced by  $>_{\text{lex}}$ . We can apply this order on words of the form (\*) by identifying  $v_i$  with  $(w_{i0}, \dots, w_{in})$ . This order is terminating, as the lengths of the  $w_{ij}$  are bounded.

It remains to show that the rules are compatible with  $>_{\text{lex,lex}}$ . Let  $j$  be the occurrence of  $a$  in (\*), or the subword, on which the rule is applied.

$b(a(x)) \longrightarrow a(b^2(c(x)))$ :  $(\dots, w_{ij}b, w_{i,j+1}, \dots) >_{\text{lex,lex}} (\dots, w_{ij}, b^2cw_{i,j+1}, \dots)$ , as the word at position  $j$  gets shorter, and thus smaller w.r.t.  $>_{\text{lex}}$ .

$c(a(x)) \longrightarrow a(b(c^2(x)))$ :  $(\dots, w_{ij}c, w_{i,j+1}, \dots) >_{\text{lex,lex}} (\dots, w_{ij}, bc^2w_{i,j+1}, \dots)$ , analogously.

$c(b(x)) \longrightarrow b(c(x))$ :  $(\dots, w_{ij}, \dots) >_{\text{lex,lex}} (\dots, w_{i+1,j}, \dots)$ , as at position  $j$ , an occurrence of  $cb$  is replaced by  $bc$ , and thus the word gets smaller w.r.t.  $>_{\text{lex}}$ .

### Exercise 35 (Hilbert's 10th Problem (Exercise 5.8 of TRaAT))

Show that undecidability of Hilbert's 10th Problem implies that the following problem (TRaT Exercise 5.8) is undecidable:

**Instance:** Two polynomials  $P, Q \in \mathbb{N}[X_1, \dots, X_n]$  in  $n$  indeterminates with non-negative integer coefficients, and a (decidable) subset  $A$  of  $\mathbb{N}$ .

**Question:** Does  $P >_A Q$  hold, i.e. is the value of  $P$  greater than the value of  $Q$  for all valuations with elements in  $A$ .

Show that this implies that there exists a polynomial interpretation  $\mathcal{A}$  for which it is in general undecidable whether two terms  $l, r$  satisfy  $l >_{\mathcal{A}} r$ .

### Solution

**Theorem** TRaT Exercise 5.8 is undecidable.

**Proof by contradiction.** We assume our problem is decidable, i.e. for each polynomials  $P$ , and  $Q$ , and for each decidable set  $A$ , we can decide  $P <_A Q$ .

We now show how to reduce instances of Hilbert's 10th problem to our problem: Given a polynomial  $P \in \mathbb{Z}[X_1, \dots, X_n]$  (now in the integers), decide  $\exists \mathbf{x} \in \mathbb{N}^n. P(\mathbf{x}) = 0$ . We construct two polynomials  $R, Q \in \mathbb{N}[X_1, \dots, X_n]$  with:

$$P^2(\mathbf{x}) = R(\mathbf{x}) - Q(\mathbf{x})$$

This is simply done by choosing all positive coefficients of  $P^2$  for  $R$  and all negative ones for  $Q$ . Now we decide  $R >_{\mathbb{N}} Q$ , which is equivalent to  $P^2 > 0$ , and hence to  $\forall \mathbf{x} \in \mathbb{N}^n. P(\mathbf{x}) > 0$ . Hence, we would decide Hilbert's 10th problem. **Contradiction.**

**Theorem**  $l >_{\mathcal{A}} r$  is generally not decidable for arbitrary  $T(\Sigma, V)$  and  $\mathcal{A}$ .

### Proof.

As signature we choose  $\Sigma = \{+_2, *_2, 1_0\}$ , as interpretation we choose  $P_+(x, y) = x + y$ ,  $P_* = x \cdot y$ , and  $P_1 = 1$ , as polynomial interpretations in  $\mathcal{A}$ . Each TRaT Exercise 5.8 instance,  $Q, R, A$  can now be translated into a polynomial order problem:

$$Q <_A R \iff_{\text{Def}} P_{Q_{\mathcal{A}}} < P_{R_{\mathcal{A}}} \iff_{5.3.8} Q <_{\mathcal{A}} R$$

We write  $Q_{\mathcal{A}}$  for the term with  $P_{Q_{\mathcal{A}}}(\mathbf{x}) = Q(\mathbf{x})$ , i.e. the term representing the polynomial  $Q$ . This proves the first equation, the second equation is Lemma 5.3.8 in TRaAT. **Contradiction.**

### Homework 36 (Reduction Ordering)

Recall that a reduction ordering is a well-founded ordering on terms that is compatible with context and closed under substitutions. Now consider the subterm ordering  $>_{ST}$ , defined so that  $s >_{ST} t$  iff  $t$  is a proper subterm of  $s$ .

- a) Show that  $>_{ST}$  is no reduction ordering.
- b) Show that a term-rewriting system  $R$  with  $R \subseteq >_{ST}$  always terminates. Here,  $R \subseteq >_{ST}$  means that  $l >_{ST} r$  for every rewrite rule  $(l \rightarrow r) \in R$ .

### Homework 37 (Polynomial Interpretation)

Use the polynomial interpretation  $\mathcal{A}$  with  $A = \mathbb{N} - \{0, 1, 2\}$  and  $P_f(X, Y) = X^2 + XY$  to show that the following term rewriting system terminates:

$$\{ f(f(x, y), z) \rightarrow f(x, f(y, z)), f(x, f(y, z)) \rightarrow f(y, y) \}$$

### Homework 38 (Interpretation)

Prove termination of the following term rewriting system using the interpretation method:

$$\{ f(f(x)) \rightarrow f(g(f(x))) \}$$