

**theory** *ex11\_solution*

**imports** “ $\sim\sim$ /src/HOL/IMP/Collecting” “ $\sim\sim$ /src/HOL/IMP/VCG” “ $\sim\sim$ /src/HOL/IMP/Hoare\_Total”  
**begin**

For each of the three programs given here, you must prove partial correctness and total correctness. For the partial correctness proofs, you should first write an annotated program, and then use the verification condition generator from *VCG*. For the total correctness proofs, use the Hoare rules from *Hoare\_Total*.

Some abbreviations, freeing us from having to write double quotes for concrete variable names:

**abbreviation** “*aa*  $\equiv$  “*a*””    **abbreviation** “*bb*  $\equiv$  “*b*””    **abbreviation** “*cc*  $\equiv$  “*c*””  
**abbreviation** “*dd*  $\equiv$  “*d*””    **abbreviation** “*ee*  $\equiv$  “*d*””    **abbreviation** “*ff*  $\equiv$  “*f*””  
**abbreviation** “*pp*  $\equiv$  “*p*””    **abbreviation** “*qq*  $\equiv$  “*q*””    **abbreviation** “*rr*  $\equiv$  “*r*””

Some useful simplification rules:

**declare** *algebra\_simps*[*simp*]    **declare** *power2\_eq\_square*[*simp*]

Rotated rule for sequential composition:

**lemmas** *SeqTR* = *Hoare\_Total.Seq*[*rotated*]

Prove the following syntax-directed conditional rule (for total correctness):

**lemma** *IfT*:

**assumes** “ $\vdash_t \{P1\} c_1 \{Q\}$ ” **and** “ $\vdash_t \{P2\} c_2 \{Q\}$ ”  
**shows** “ $\vdash_t \{\lambda s. (bval\ b\ s \longrightarrow P1\ s) \wedge (\neg\ bval\ b\ s \longrightarrow P2\ s)\} IF\ b\ THEN\ c_1\ ELSE\ c_2\ \{Q\}$ ”  
**by** (*auto intro: assms hoaret.intros*)

A convenient loop construct:

**abbreviation** *For* :: “*vname*  $\Rightarrow$  *aexp*  $\Rightarrow$  *aexp*  $\Rightarrow$  *com*  $\Rightarrow$  *com*”  
 (“(*FOR* *\_*/ *FROM* *\_*/ *TO* *\_*/ *DO* *\_*)” [0, 0, 0, 61] 61) **where**  
“*FOR* *v* *FROM* *a1* *TO* *a2* *DO* *c*  $\equiv$   
 $v ::= a1 ;; WHILE\ (Less\ (V\ v)\ a2)\ DO\ (c ;; v ::= Plus\ (V\ v)\ (N\ 1))$ ”

**abbreviation** *Afor* :: “*assn*  $\Rightarrow$  *vname*  $\Rightarrow$  *aexp*  $\Rightarrow$  *aexp*  $\Rightarrow$  *acom*  $\Rightarrow$  *acom*”  
 (“(*{\_}*/ *FOR* *\_*/ *FROM* *\_*/ *TO* *\_*/ *DO* *\_*)” [0, 0, 0, 0, 61] 61) **where**  
“*{b}* *FOR* *v* *FROM* *a1* *TO* *a2* *DO* *c*  $\equiv$   
 $v ::= a1 ;; \{b\}\ WHILE\ (Less\ (V\ v)\ a2)\ DO\ (c ;; v ::= Plus\ (V\ v)\ (N\ 1))$ ”

**Multiplication.** Consider the following program *MULT* for performing multiplication and the following assertions *P\_MULT* and *Q\_MULT*:

**definition** *MULT2* :: *com* **where**

“*MULT2*  $\equiv$   
*FOR* *dd* *FROM* (*N* 0) *TO* (*V* *aa*) *DO*  
 $cc ::= Plus\ (V\ cc)\ (V\ bb)$ ”

**definition** *MULT* :: *com* **where**

$MULT \equiv cc ::= N\ 0 \ ;\ ;\ ;\ MULT2$

**definition**  $P\_MULT$  :: “ $int \Rightarrow int \Rightarrow assn$ ” **where**

“ $P\_MULT\ i\ j \equiv \lambda s. s\ aa = i \wedge s\ bb = j \wedge 0 \leq i$ ”

**definition**  $Q\_MULT$  :: “ $int \Rightarrow int \Rightarrow assn$ ” **where**

“ $Q\_MULT\ i\ j \equiv \lambda s. s\ cc = i * j \wedge s\ aa = i \wedge s\ bb = j$ ”

Define an annotated program  $AMULT\ i\ j$ , so that when the annotations are stripped away, it yields  $MULT$ . (The parameters  $i$  and  $j$  will appear only in the loop annotations.)

**definition**  $iMULT$  :: “ $int \Rightarrow int \Rightarrow assn$ ” **where**

“ $iMULT\ i\ j \equiv \lambda s. s\ aa = i \wedge s\ bb = j \wedge s\ cc = s\ dd * j \wedge s\ dd \leq i$ ”

**definition**  $AMULT2$  :: “ $int \Rightarrow int \Rightarrow acom$ ” **where**

“ $AMULT2\ i\ j \equiv$   
 $\{iMULT\ i\ j\}$   
 $FOR\ dd\ FROM\ (N\ 0)\ TO\ (V\ aa)\ DO$   
 $cc ::= Plus\ (V\ cc)\ (V\ bb)$ ”

**definition**  $AMULT$  :: “ $int \Rightarrow int \Rightarrow acom$ ” **where**

“ $AMULT\ i\ j \equiv (cc ::= N\ 0) \ ;\ ;\ ;\ AMULT2\ i\ j$ ”

**lemmas**  $MULT\_defs = MULT2\_def\ MULT\_def\ P\_MULT\_def\ Q\_MULT\_def\ iMULT\_def\ AMULT2\_def\ AMULT\_def$

**lemma**  $strip\_AMULT$ : “ $strip\ (AMULT\ i\ j) = MULT$ ”

**unfolding**  $AMULT\_def\ MULT\_def\ AMULT2\_def\ MULT2\_def$  **by**  $simp$

Once you have the correct loop annotations, then the partial correctness proof can be done in two steps, with the help of lemma  $vc\_sound'$ .

**lemma**  $MULT\_correct$ : “ $\vdash \{P\_MULT\ i\ j\}\ MULT\ \{Q\_MULT\ i\ j\}$ ”

**apply** ( $subst\ strip\_AMULT[\mathbf{where}\ i=i\ \mathbf{and}\ j=j,\ symmetric]$ )

**apply** ( $rule\ vc\_sound'$ )

**apply** ( $auto\ simp:\ MULT\_defs$ )

**done**

The total correctness proof will look much like the Hoare logic proofs from Exercise Sheet 9, but you must use the rules from  $Hoare\_Total$  instead. Also note that when using rule  $Hoare\_Total.While\_fun'$ , you must instantiate both the predicate  $P :: state \Rightarrow bool$  and the measure  $f :: state \Rightarrow nat$ . The measure must decrease every time the body of the loop is executed. You can define the measure first:

**definition**  $mMULT$  :: “ $state \Rightarrow nat$ ” **where**

“ $mMULT \equiv \lambda s. nat\ (s\ aa - s\ dd)$ ”

**lemma**  $MULT\_totally\_correct$ : “ $\vdash_t \{P\_MULT\ i\ j\}\ MULT\ \{Q\_MULT\ i\ j\}$ ”

**unfolding**  $MULT\_def\ MULT2\_def$

**apply** ( $rule\ SeqTR$ )

**apply** ( $rule\ SeqTR$ )

```

apply (rule Hoare_Total.While_fun["where P="iMULT i j" and f=mMULT])
apply (rule SeqTR)
  apply (rule Hoare_Total.Assign)
  apply (rule Hoare_Total.Assign')
  apply (auto simp: MULT_defs)
  apply (auto simp: mMULT_def)
apply (rule Hoare_Total.Assign)
apply (rule Hoare_Total.Assign')
apply auto
done

```

**Division.** Define an annotated version of this division program, which yields the quotient and remainder of  $aa/bb$  in variables " $q$ " and " $r$ ", respectively.

**definition** *DIV1* :: *com* **where**  
 "*DIV1*  $\equiv qq ::= N\ 0 \ ;\ ;\ rr ::= N\ 0$ "

**definition** *DIV\_IF* :: *com* **where**  
 "*DIV\_IF*  $\equiv$   
 IF Less (V *rr*) (V *bb*)  
 THEN Com.SKIP  
 ELSE (*rr* ::= N 0 ; ; *qq* ::= Plus (V *qq*) (N 1))"

**definition**  
 "*DIV2*  $\equiv rr ::= Plus (V *rr*) (N 1) \ ;\ ;\ DIV\_IF$ "

**definition** *DIV* :: *com* **where**  
 "*DIV*  $\equiv DIV1 \ ;\ ;\ FOR\ cc\ FROM\ (N\ 0)\ TO\ (V\ aa)\ DO\ DIV2$ "

**definition** *P\_DIV* :: "*int*  $\Rightarrow$  *int*  $\Rightarrow$  *assn*" **where**  
 "*P\_DIV* *i j*  $\equiv \lambda s. s\ aa = i \wedge s\ bb = j \wedge 0 \leq i \wedge 0 < j$ "

**definition** *Q\_DIV* :: "*int*  $\Rightarrow$  *int*  $\Rightarrow$  *assn*" **where**  
 "*Q\_DIV* *i j*  $\equiv \lambda s. i = s\ qq * j + s\ rr \wedge 0 \leq s\ rr \wedge s\ rr < j \wedge s\ aa = i \wedge s\ bb = j$ "

**definition** *iDIV* :: "*int*  $\Rightarrow$  *int*  $\Rightarrow$  *assn*" **where**  
 "*iDIV* *i j*  $\equiv \lambda s. s\ cc = s\ qq * j + s\ rr \wedge 0 \leq s\ rr \wedge s\ rr < j \wedge s\ cc \leq i \wedge s\ aa = i \wedge s\ bb = j$ "

**definition** *ADIV1* :: *acom* **where**  
 "*ADIV1*  $\equiv qq ::= N\ 0 \ ;\ ;\ rr ::= N\ 0$ "

**definition** *ADIV\_IF* :: *acom* **where**  
 "*ADIV\_IF*  $\equiv$   
 IF Less (V *rr*) (V *bb*)  
 THEN SKIP  
 ELSE (*rr* ::= N 0 ; ; *qq* ::= Plus (V *qq*) (N 1))"

**definition** *ADIV2* :: *acom* **where**

“ $ADIV2 \equiv rr ::= Plus (V rr) (N 1) ;; ADIV\_IF$ ”

**definition**  $ADIV :: \text{“}int \Rightarrow int \Rightarrow acom\text{”}$  **where**

“ $ADIV\ i\ j \equiv ADIV1 ;; \{iDIV\ i\ j\}\ FOR\ cc\ FROM\ (N\ 0)\ TO\ (V\ aa)\ DO\ ADIV2$ ”

**lemmas**  $DIV\_defs =$

$DIV1\_def\ DIV\_IF\_def\ DIV2\_def\ DIV\_def\ Q\_DIV\_def\ P\_DIV\_def\ iDIV\_def$   
 $ADIV1\_def\ ADIV\_IF\_def\ ADIV2\_def\ ADIV\_def$

**lemma**  $strip\_ADIV: \text{“}strip\ (ADIV\ i\ j) = DIV\text{”}$

**unfolding**  $DIV\_defs$  **by**  $simp$

**lemma**  $DIV\_correct: \text{“}\vdash\ \{P\_DIV\ i\ j\}\ DIV\ \{Q\_DIV\ i\ j\}\text{”}$

**apply** ( $subst\ strip\_ADIV[\text{where}\ i=i\ \text{and}\ j=j,\ symmetric]$ )

**apply** ( $rule\ vc\_sound'$ )

**apply** ( $auto\ simp\ add: DIV\_defs$ )

**done**

**definition**  $mDIV :: \text{“}state \Rightarrow nat\text{”}$  **where**

“ $mDIV \equiv \lambda s. nat\ (s\ aa - s\ cc)$ ”

**lemma**  $DIV\_totally\_correct: \text{“}\vdash_t\ \{P\_DIV\ i\ j\}\ DIV\ \{Q\_DIV\ i\ j\}\text{”}$

**unfolding**  $DIV\_defs$

**apply**( $rule\ SeqTR$ )

**apply**( $rule\ SeqTR$ )

**apply**( $rule\ Hoare\_Total.While\_fun'[\text{where}\ P = \text{“}iDIV\ i\ j\text{”}\ \text{and}\ f = mDIV]$ )

**unfolding**  $DIV\_defs\ mDIV\_def$

**apply** ( $rule\ SeqTR\ IfT\ Hoare\_Total.Assign\ Hoare\_Total.Skip$ )**+**

**apply** ( $rule\ Hoare\_Total.Assign'$ )

**apply**  $simp$

**apply**  $simp$

**apply** ( $rule\ SeqTR\ IfT\ Hoare\_Total.Assign\ Hoare\_Total.Skip$ )**+**

**apply** ( $rule\ Hoare\_Total.Assign'$ )

**apply**  $simp$

**done**

**Square roots.** Define an annotated version of this square root program, which yields the square root of input  $aa$  (rounded down to the next integer) in output  $bb$ .

**definition**  $SQR1 :: com$  **where**

“ $SQR1 \equiv bb ::= N\ 0 ;; cc ::= N\ 1$ ”

**definition**  $SQR2 :: com$  **where**

“ $SQR2 \equiv$

$bb ::= Plus\ (V\ bb)\ (N\ 1);;$

$cc ::= Plus\ (V\ cc)\ (V\ bb);;$

$cc ::= Plus\ (V\ cc)\ (V\ bb);;$

$cc ::= Plus\ (V\ cc)\ (N\ 1)$ ”

**definition**  $SQR :: com$  **where**

“ $SQR \equiv SQR1 ;; (WHILE (Not (Less (V aa) (V cc))) DO SQR2)$ ”

**definition**  $P\_SQR :: “int \Rightarrow assn”$  **where**

“ $P\_SQR i \equiv \lambda s. s aa = i \wedge 0 \leq i$ ”

**definition**  $Q\_SQR :: “int \Rightarrow assn”$  **where**

“ $Q\_SQR i \equiv \lambda s. s aa = i \wedge (s bb)^2 \leq i \wedge i < (s bb + 1)^2$ ”

**definition**  $iSQR :: “int \Rightarrow assn”$  **where**

“ $iSQR i \equiv \lambda s. s aa = i \wedge 0 \leq s bb \wedge (s bb)^2 \leq i \wedge s cc = (s bb + 1)^2$ ”

**definition**  $ASQR1 :: acom$  **where**

“ $ASQR1 \equiv bb ::= N 0 ;; cc ::= N 1$ ”

**definition**  $ASQR2 :: acom$  **where**

“ $ASQR2 \equiv$   
   $bb ::= Plus (V bb) (N 1);;$   
   $cc ::= Plus (V cc) (V bb);;$   
   $cc ::= Plus (V cc) (V bb);;$   
   $cc ::= Plus (V cc) (N 1)$ ”

**definition**  $ASQR :: “int \Rightarrow acom”$  **where**

“ $ASQR i \equiv ASQR1 ;; (\{iSQR i\} WHILE (Not (Less (V aa) (V cc))) DO ASQR2)$ ”

**lemmas**  $SQR\_defs = ASQR1\_def ASQR2\_def ASQR\_def SQR1\_def SQR2\_def SQR\_def iSQR\_def$   
 $P\_SQR\_def Q\_SQR\_def$

**lemma**  $strip\_ASQR$ : “ $strip (ASQR i) = SQR$ ”

**unfolding**  $SQR\_defs$  **by**  $simp$

**lemma**  $SQR\_correct$ : “ $\vdash \{P\_SQR i\} SQR \{Q\_SQR i\}$ ”

**apply** ( $subst strip\_ASQR$ [**where**  $i=i$ ,  $symmetric$ ])

**apply** ( $rule vc\_sound'$ )

**apply** ( $auto simp add: SQR\_defs$ )

**done**

**definition**  $mSQR$  **where**

“ $mSQR \equiv \lambda s. nat (s aa - s bb * s bb)$ ”

**lemma**  $SQR\_totally\_correct$ : “ $\vdash_t \{P\_SQR i\} SQR \{Q\_SQR i\}$ ”

**unfolding**  $SQR\_defs$

**apply** ( $rule Hoare\_Total.While\_fun'$ [**where**  $P = “iSQR i”$  **and**  $f = mSQR$ ]  $SeqTR Hoare\_Total.Assign$ )+

**apply** ( $rule Hoare\_Total.Assign'$ )

**apply** ( $simp add: SQR\_defs mSQR\_def$ )

**apply** ( $simp add: SQR\_defs mSQR\_def$ )

**apply** ( $rule SeqTR Hoare\_Total.Assign$ )+

**apply** ( $rule Hoare\_Total.Assign'$ )

**apply** ( $simp add: SQR\_defs$ )

done

### Exercise 0.1 Collecting Semantics

Recall the datatype of annotated commands (type  $'a\ acom$ ) and the collecting semantics (function  $step :: state\ set \Rightarrow state\ set\ acom \Rightarrow state\ set\ acom$ ) from the lecture. We reproduce the definition of  $step$  here for easy reference. (Recall that  $post\ c$  simply returns the right-most annotation from command  $c$ .)

$$\begin{aligned}
step\ S\ (SKIP\ \{-\}) &= SKIP\ \{S\} \\
step\ S\ (x ::= e\ \{-\}) &= x ::= e\ \{\{s(x := aval\ e\ s) \mid s. s \in S\}\} \\
step\ S\ (c_1\ ;;\ c_2) &= step\ S\ c_1\ ;;\ step\ (post\ c_1)\ c_2 \\
step\ S\ (IF\ b\ THEN\ \{P_1\}\ c_1\ ELSE\ \{P_2\}\ c_2\ \{-\}) &= \\
&\quad IF\ b\ THEN\ \{\{s \in S. bval\ b\ s\}\}\ step\ P_1\ c_1 \\
&\quad ELSE\ \{\{s \in S. \neg\ bval\ b\ s\}\}\ step\ P_2\ c_2 \\
&\quad \{post\ c_1 \cup post\ c_2\} \\
step\ S\ (\{I\}\ WHILE\ b\ DO\ \{P\}\ c\ \{-\}) &= \\
&\quad \{S \cup post\ c\} \\
&\quad WHILE\ b\ DO\ \{\{s \in I. bval\ b\ s\}\}\ step\ P\ c \\
&\quad \{\{s \in I. \neg\ bval\ b\ s\}\}
\end{aligned}$$

In this exercise you must evaluate the collecting semantics on the example program below by repeatedly applying the  $step$  function.

$$\begin{aligned}
c = &\ (IF\ x < 0 \\
&\quad THEN\ \{A_1\} \\
&\quad\quad \{A_2\}\ WHILE\ 0 < y\ DO\ \{A_3\}\ (y := y + x\ \{A_4\})\ \{A_5\} \\
&\quad ELSE\ \{A_6\}\ SKIP\ \{A_7\} \\
&\ )\ \{A_8\}
\end{aligned}$$

Let  $S$  be  $\{\langle -2, 3 \rangle, \langle 1, 2 \rangle\}$ , abbreviated  $-2, 3 \mid 1, 2$ . Calculate column  $n+1$  in the table below by evaluating  $step\ S\ c$  with the annotations for  $c$  taken from column  $n$ . For conciseness, we use " $\langle i, j \rangle$ " as notation for the state  $\langle "x" := i, "y" := j \rangle$ . We have filled in columns 0 and 1 to get you started; now compute and fill in the rest of the table.

	0	1	2	3	4	5	6	7	8	9	10
$A_1$	$\emptyset$	$-2, 3$	$-2, 3$	$-2, 3$	$-2, 3$	$-2, 3$	$-2, 3$	$-2, 3$	$-2, 3$	$-2, 3$	$-2, 3$
$A_2$	$\emptyset$	$\emptyset$	$-2, 3$	$-2, 3$	$-2, 3$	$-2, 3 \mid -2, 1$	$-2, 3 \mid -2, 1$	$-2, 3 \mid -2, 1$	$-2, 3 \mid -2, 1 \mid -2, -1$	$-2, 3 \mid -2, 1 \mid -2, -1$	$-2, 3 \mid -2, 1 \mid -2, -1$
$A_3$	$\emptyset$	$\emptyset$	$\emptyset$	$-2, 3$	$-2, 3$	$-2, 3$	$-2, 3 \mid -2, 1$	$-2, 3 \mid -2, 1$	$-2, 3 \mid -2, 1$	$-2, 3 \mid -2, 1$	$-2, 3 \mid -2, 1$
$A_4$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$-2, 1$	$-2, 1$	$-2, 1$	$-2, 1 \mid -2, -1$	$-2, 1 \mid -2, -1$	$-2, 1 \mid -2, -1$	$-2, 1 \mid -2, -1$
$A_5$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$\emptyset$	$-2, -1$	$-2, -1$
$A_6$	$\emptyset$	$1, 2$	$1, 2$	$1, 2$	$1, 2$	$1, 2$	$1, 2$	$1, 2$	$1, 2$	$1, 2$	$1, 2$
$A_7$	$\emptyset$	$\emptyset$	$1, 2$	$1, 2$	$1, 2$	$1, 2$	$1, 2$	$1, 2$	$1, 2$	$1, 2$	$1, 2$
$A_8$	$\emptyset$	$\emptyset$	$\emptyset$	$1, 2$	$1, 2$	$1, 2$	$1, 2$	$1, 2$	$1, 2$	$1, 2$	$1, 2 \mid -2, -1$

end