

# Semantics of Programming Languages

## Exercise Sheet 4

### Exercise 4.1 Rule Inversion

Recall the evenness predicate  $ev$  from the lecture:

```
inductive  $ev :: "nat \Rightarrow bool"$  where  
   $ev0$ : " $ev\ 0$ " |  
   $evSS$ : " $ev\ n \Longrightarrow ev\ (Suc\ (Suc\ n))$ "
```

Prove the converse of rule  $evSS$  using rule inversion. Hint: There are two ways to proceed. First, you can write a structured Isar-style proof using the *cases* method:

```
lemma " $ev\ (Suc\ (Suc\ n)) \Longrightarrow ev\ n$ "  
proof -  
  assume " $ev\ (Suc\ (Suc\ n))$ " then show " $ev\ n$ "  
  proof (cases)  
  
    ...  
  
  qed  
qed
```

*Optional:* Alternatively, you can write a more automated proof by using the **inductive\_cases** command to generate elimination rules. These rules can then be used with "*auto elim:*". (If given the [*elim*] attribute, *auto* will use them by default.)

```
inductive_cases  $evSS_{elim}$ : " $ev\ (Suc\ (Suc\ n))$ "
```

Next, prove that the natural number three ( $Suc\ (Suc\ (Suc\ 0))$ ) is not even. Hint: You may proceed either with a structured proof, or with an automatic one. An automatic proof may require additional elimination rules from **inductive\_cases**.

```
lemma " $\neg ev\ (Suc\ (Suc\ (Suc\ 0)))$ "
```

### Exercise 4.2 (Deterministic) labeled transition systems

**From this sheet onward, you should write all your (non-trivial) proofs in Isar!**

A *labeled transition system* is a directed graph with edge labels. We represent it by a predicate that holds for the edges.

**type\_synonym** ('q,'l) lts = "'q ⇒ 'l ⇒ 'q ⇒ bool"

I.e., for an LTS  $\delta$  over nodes of type 'q and labels of type 'l,  $\delta q l q'$  means that there is an edge from  $q$  to  $q'$  labeled with  $l$ .

A word from source node  $u$  to target node  $v$  is the sequence of edge labels one encounters when going from  $u$  to  $v$ .

Define a predicate *word*, such that  $\text{word } \delta u w v$  holds iff  $w$  is a word from  $u$  to  $v$ .

**inductive** *word* :: "'q,'l) lts ⇒ 'q ⇒ 'l list ⇒ 'q ⇒ bool" for  $\delta$

A *deterministic* LTS has at most one transition for each node and label

**definition** "det  $\delta \equiv \forall q a q1 q2. \delta q a q1 \wedge \delta q a q2 \longrightarrow q1 = q2$ "

Show: For a deterministic LTS, the same word from the same source node leads to at most one target node, i.e., the target node is determined by the source node and the path

**lemma**

**assumes** *det*: "det  $\delta$ "

**shows** "word  $\delta q w q' \implies \text{word } \delta q w q'' \implies q' = q''$ "

### Exercise 4.3 Counting Elements

**From this sheet onward, you should write all your (non-trivial) proofs in Isar!**

Recall the count function, that counts how often a specified element occurs in a list:

**fun** *count* :: "'a ⇒ 'a list ⇒ nat" **where**

"count  $x [] = 0$ "

| "count  $x (y\#ys) = (\text{if } x=y \text{ then } \text{Suc } (\text{count } x ys) \text{ else } \text{count } x ys)$ "

Show that, if an element occurs in the list (its count is positive), the list can be split into a prefix not containing the element, the element itself, and a suffix containing the element one times less

**lemma** "count  $x xs = \text{Suc } n \implies \exists p s. xs = p @ x \# s \wedge \text{count } x p = 0 \wedge \text{count } x s = n$ "

**theory** *Homework*

**imports** *Main* "HOL-IMP.AExp"

**begin**

### Homework 4.1 Cycles in Graphs

*Submission until Monday, November 18, 2019, 10:00am.*

**From this sheet onward, you should write all your (non-trivial) proofs in Isar!**

Similarly to the labeled transition systems from the tutorial, we can view directed graphs simply as an edge relation  $E :: ('a \Rightarrow 'a \Rightarrow \text{bool})$ . Your first task is to define the notion

of path in a graph inductively as a predicate  $path\ E\ p$ , where  $p$  contains the nodes of the path. A path is either a trivial path  $[v]$  from a node  $v$  to itself or of the form  $[v_1, v_2, \dots, v_n]$  such that  $E\ v_1\ v_2, \dots, E\ v_{n-1}\ v_n$  all hold.

**inductive**  $path :: '(a \Rightarrow 'a \Rightarrow bool) \Rightarrow 'a\ list \Rightarrow bool$  for  $E$  where

We now want prove a sufficient condition that guarantees that a given graph does *not* have a cycle through a certain node  $v$ . The idea is to give a numbering  $f :: 'a \Rightarrow nat$  such that

- for all edges  $E\ a\ b$ , the value of  $f$  is non-decreasing
- for any edge  $E\ v\ w$  out of  $v$ , the value of  $f$  is increasing

Prove that under these conditions, there is no cycle through  $v$ :

**theorem**  $no\_cycle$ :

**fixes**  $f :: 'a \Rightarrow nat$

**assumes** " $\forall a\ b. E\ a\ b \longrightarrow f\ a \leq f\ b$ " " $\forall w. E\ v\ w \longrightarrow f\ v < f\ w$ "

**shows** " $\neg (\exists xs. path\ E\ (v\ \# xs\ @ [v]))$ "

## Homework 4.2 Sharing Sub-Expressions

*Submission until Monday, November 18, 2019, 10:00am.*

**From this sheet onward, you should write all your (non-trivial) proofs in Isar!**

We extend the arithmetic expressions known from the lecture with a construct for *Let*-expressions:

**datatype**  $lexp = N\ int \mid V\ vname \mid Plus\ lexp\ lexp \mid Let\ vname\ lexp\ lexp$

An expression  $Let\ x\ e\ a$  binds the expression  $e$  to variable  $x$  in  $a$ :

**fun**  $lval :: 'lexp \Rightarrow state \Rightarrow val$  where

" $lval\ (N\ n)\ s = n$ " |

" $lval\ (V\ x)\ s = s\ x$ " |

" $lval\ (Plus\ a_1\ a_2)\ s = lval\ a_1\ s + lval\ a_2\ s$ " |

" $lval\ (Let\ x\ a\ b)\ s = lval\ b\ (s(x := lval\ a\ s))$ "

**lemma**  $example$ :

" $lval\ (Let\ 'x'\ (N\ 5)\ (Let\ 'y'\ (V\ 'x')\ (Plus\ (V\ 'x')\ (Plus\ (V\ 'y')\ (V\ 'x'))))) <> = 15$ "

**by**  $eval$

*Let*-expressions allow us to share subexpressions to avoid recomputation. The expression  $((a + 3) + 4) + ((a + 3) + 4)$  could be re-written as  $Let\ x = a + 3 + 4\ in\ x + x$ , for instance. The goal of this exercise is to define a function *linearize* that performs such rewriting automatically. Our function will assume that the given expression does not

contain any *Let*-expressions and will only replace occurrences of repeated expressions of the form  $a + b$ , i.e. no variables or constants.

**Step 1** We define a function *replace* that performs a single replacement of a subterm by a variable, i.e. *replace e x a* replaces every occurrence of  $e$  by  $V x$  in  $a$ . Fill in the case for *Plus a b*:

```
fun replace :: "lexp  $\Rightarrow$  vname  $\Rightarrow$  lexp  $\Rightarrow$  lexp" where
  "replace e x (Let u a b) = Let u (replace e x a) (replace e x b)" | "replace e x a = a"
```

**Step 2** We define the auxiliary functions *vars\_of* and *bounds\_of* that collect the whole set of variables, and the set of variables that are bound by a *Let*-expression in a given expression, respectively:

```
fun vars_of :: "lexp  $\Rightarrow$  string set" where
  "vars_of (N _) = {}"
| "vars_of (V x) = {x}"
| "vars_of (Plus a b) = vars_of a  $\cup$  vars_of b"
| "vars_of (Let x a b) = {x}  $\cup$  vars_of a  $\cup$  vars_of b"
```

```
fun bounds_of :: "lexp  $\Rightarrow$  string set" where
  "bounds_of (N _) = {}"
| "bounds_of (V x) = {}"
| "bounds_of (Plus a b) = bounds_of a  $\cup$  bounds_of b"
| "bounds_of (Let x a b) = {x}  $\cup$  bounds_of a  $\cup$  bounds_of b"
```

Show that updating the state for a variable  $x$  does not occur in  $a$  does not influence the result of evaluating  $a$ :

```
theorem lval_upd_state_same:
  "x  $\notin$  vars_of a  $\implies$  lval a (s(x := v)) = lval a s"
```

**Step 3** Show that evaluating  $a$  on  $s$  yields the same result as first replacing  $x$  by  $e$  in  $a$ , and then evaluating the result on  $s(x := lval e s)$ :

```
theorem lval_replace:
  assumes "x  $\notin$  vars_of a" "bounds_of a  $\cap$  vars_of e = {}"
  shows "lval (replace e x a) (s(x := lval e s)) = lval a s"
```

**Step 4** We are now ready to define the function *linearize*. We first define a function *collect* that collects all-subexpressions of a given arithmetic expression

```
fun collect :: "lexp  $\Rightarrow$  lexp list" where
  "collect (N n) = []"
| "collect (V _) = []"
| "collect (Plus a b) = collect a @ Plus a b # collect b"
| "collect (Let x a b) = collect a @ collect b"
```

and provide the following helper functions:

```

fun invent_names :: "nat  $\Rightarrow$  string list" where
  "invent_names 0 = []"
| "invent_names (Suc n) = replicate (Suc n) (CHR "v") # invent_names n"

```

```

fun duplicates :: "'a list  $\Rightarrow$  'a list" where
  "duplicates [] = []"
| "duplicates (x # xs) = (if x  $\in$  set xs then x # duplicates xs else duplicates xs)"

```

Define the function *linearize* using the following template:

```

definition linearize' :: "lexp  $\Rightarrow$  lexp" where
  "linearize' e = (let
    exps = undefined;
    names = undefined;
    m = zip exps names
  in fold ( $\lambda(a, x) e. \text{Let } x \ a \ (\text{replace } a \ x \ e) \ m \ e)$ "

```

The function should only use names of the form *v*, *vv*, *vvv*, ... to bind expressions to variables. Your functions should remove all occurrences of duplicate expressions. In addition, it should pass the following test cases:

**theorem** test\_case1:

```

"linearize (Plus (Plus (Plus (V "a") (N 3)) (N 4)) (Plus (V "a") (N 3)))
= Let "v" (Plus (V "a") (N 3)) (Plus (Plus (V "v") (N 4)) (V "v"))"

```

**theorem** test\_case2:

```

"linearize (Plus (Plus (Plus (V "a") (N 3)) (N 4)) (Plus (Plus (V "a") (N 3)) (N 4)))
= Let "v" (Plus (V "a") (N 3)) (Let "vv" (Plus (V "v") (N 4)) (Plus (V "vv") (V "vv")))"

```

**(Bonus) Step 6** As a bonus exercise, you can try to prove correctness of *linearize*:

**lemma** linearize\_correct:

```

assumes " $\forall x. x \in \text{vars\_of } e \longrightarrow \text{CHR } "v" \notin \text{set } x$ " "bounds_of e = {}"
shows "lval (linearize e) s = lval e s"

```

We will reward up to 3 bonus points. Partial credit for incomplete attempts may be given. Bonus points count "on your side": Bonus points are added to your final homework score but do not count towards the maximum number of homework points that can be achieved.